PEPFAR Process – How Does it all Relate?

Coordinators Meeting
Discussion Document Only
Not for Distribution

Tuesday, September 8, 2015
SESSION OBJECTIVES

1. Overall process and timeline for planning and monitoring (COP, POART, SIMS, EA, SID) for next 12-18 months

2. Coordinator role/responsibilities in facilitating the process
INTRODUCTION TO THE PEPFAR PORT
Turning COP/ROP Vision and Strategic Pivots into Results

PEPFAR Oversight

Accountability Response Team

• **Dedicated** HQ and field staff to **analyze and review program, financial and epi data** on a quarterly cycle to ensure PEPFAR and agency specific COP/ROP approved **deliverables and targets are achieved** in the most efficient manner possible.

• Analysis results will form the basis of **corrective action** and/or the sharing of best practices across the PEPFAR community, including external stakeholders (CS, MOH, GF, UNAIDS).

• Corrective Action Summary (CAS) will be shared with the **COM and the Global AIDS Coordinator**, and over the course of a year will form the basis of annual COP/ROP guidance for each individual country.
## Key Guiding Strategic Questions for POART

### Questions for Impact and Efficiency Agendas

- What does it take to get to epidemic control?
- How will PEPFAR invest more strategically to maximize impact of the program?
- How will decisions be monitored throughout the year with data and deliverables?

### Questions for Human Rights, Sustainability and Partnerships Agendas

- How are the key challenges for a sustainable national response being addressed, especially through health diplomacy and other interventions?
- How are civil society and other key stakeholders, including the partner government and the Global Fund, engaged in quarterly review?
- How are significant human rights issues for key and priority populations being addressed by the PEPFAR team?
POART Report: Impact and Efficiency Agendas

- What does it take to get to epidemic control?
- How will PEPFAR invest more strategically to maximize impact of the program?

**COP/ROP 14 Implementation (FY15 Q3/Q4) to COP/ROP 15 Implementation to FY16**

- HIV testing/diagnosis through the HIV clinical cascade and supportive programs (Scale-up and sustained areas, site, grantee)
- DREAMS and ACT subgroups
- Obligations and outlays (Country/agency/IM)
- SIMS (Scale-up and sustained areas, site)
- Milestones and outcomes for above site supportive activities
- Transition monitoring for non-core activities and sites

**MER** = Monitoring Evaluation Reporting

**SIMS** = Site Improvement through Monitoring System
Drill Down across the Cascade to monitor impact

<table>
<thead>
<tr>
<th>Prioritization</th>
<th>District</th>
<th>Funding Agency</th>
<th>Partner</th>
<th>Mechanism ID</th>
<th>HTC_TST</th>
<th>HTC_TST_POS</th>
<th>CARE_NEW</th>
<th>TX_NEW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>CDC</td>
<td>University 1</td>
<td>14543</td>
<td>119,519</td>
<td>532,162</td>
<td>22%</td>
<td>1,000</td>
</tr>
<tr>
<td></td>
<td>District 3</td>
<td>USAID</td>
<td>NGO 1</td>
<td>23423</td>
<td>28,887</td>
<td>99,240</td>
<td>29%</td>
<td>750</td>
</tr>
<tr>
<td></td>
<td>District 3</td>
<td>CDC</td>
<td>NGO 2</td>
<td>56456</td>
<td>22,927</td>
<td>95,147</td>
<td>24%</td>
<td>424</td>
</tr>
<tr>
<td></td>
<td>District 3</td>
<td>CDC</td>
<td>Contractor 1</td>
<td>34563</td>
<td>34,030</td>
<td>61,254</td>
<td>56%</td>
<td>3,000</td>
</tr>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>CDC</td>
<td>Contractor 2</td>
<td>24243</td>
<td>15,188</td>
<td>97,203</td>
<td>16%</td>
<td>230</td>
</tr>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>DOD</td>
<td>University 2</td>
<td>23445</td>
<td>32,987</td>
<td>41,893</td>
<td>79%</td>
<td>372</td>
</tr>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>DOD</td>
<td>University 3</td>
<td>645943</td>
<td>35,000</td>
<td>122,500</td>
<td>28%</td>
<td>322</td>
</tr>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>USAID</td>
<td>Contractor 3</td>
<td>345843</td>
<td>543,443</td>
<td>2,554,182</td>
<td>21%</td>
<td>313</td>
</tr>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>CDC</td>
<td>Contractor 4</td>
<td>34531</td>
<td>26,231</td>
<td>230,083</td>
<td>12%</td>
<td>199</td>
</tr>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>CDC</td>
<td>Contractor 5</td>
<td>34532</td>
<td>10,815</td>
<td>24,550</td>
<td>44%</td>
<td>19</td>
</tr>
<tr>
<td>Scale-Up</td>
<td>District 3</td>
<td>USAID</td>
<td>Contractor 6</td>
<td>45629</td>
<td>12,305</td>
<td>18,458</td>
<td>67%</td>
<td>86</td>
</tr>
</tbody>
</table>
Triangulate different data streams to identify implementation barriers to reach targets

SIMS Results

Corrective Actions Identified

Qtrly Financials

A New Era of Accountability, Transparency and Solidarity to Accelerate IMPACT
MER Indicators for Quarterly, Semi-Annual and Annual Monitoring

- Annual Indicators (total n = 47)
- Semi-Annual Indicators (total n = 16)
- Quarterly Indicators (Qtr 1 & 3) (total n = 9)

Indicators that focus on the 1st 90 days
Indicators that focus on the 2nd 90 days
Indicators that focus on the 3rd 90 days and supportive activities

A New Era of Accountability, Transparency and Solidarity to Accelerate IMPACT
# TBD Mechanisms and Plan

<table>
<thead>
<tr>
<th>Mechanism name</th>
<th>Planned Funding Level</th>
<th>Projected Award Date</th>
<th># of Months of Funding</th>
<th>Real Award Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>TBD – Care and Treatment</td>
<td>2,325,000</td>
<td>March 2016</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>TBD - G2G MOH</td>
<td>500,000</td>
<td>Nov 2015</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>TBD - G2G MOLSA</td>
<td>500,000</td>
<td>March 2016</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>TBD - Geospatial Analysis</td>
<td>329,600</td>
<td>Sept 2015</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>TBD - GSM</td>
<td>1,250,000</td>
<td>March 2016</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>TBD - IFHP follow-on</td>
<td>1,959,742</td>
<td>Nov 2016</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>TBD - M&amp;E for OVC programs</td>
<td>1,000,000</td>
<td>Nov 2016</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>TBD- TB Care follow on</td>
<td>1,000,000</td>
<td>March 2015</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>TBD - PRIME follow on</td>
<td>819,248</td>
<td>March 2015</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td><strong>Grand total</strong></td>
<td><strong>9,683,590</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## Review Milestones and Outcomes for Above Site Supportive Activities

### COP/ROP Table 6 - HSS, SI, and Lab activities

<table>
<thead>
<tr>
<th>Brief Activity Description</th>
<th>Deliverables</th>
<th>Budget codes and allocation (USD)</th>
<th>6. Associated Implementing Mechanism(s) ID</th>
<th>7. Relevant Sustainability Index Score</th>
<th>Impact on epidemic control</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. 2016</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. 2015</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. 2016</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. 2016</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. 2016</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. HTC</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Linkage to Care (LTC)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. ART uptake</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. *Other Combination preventio n</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12. Viral suppression</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
A New Era of Accountability, Transparency and Solidarity to Accelerate IMPACT

POART Report: Human Rights, Sustainability and Partnerships Agendas

- Monitor key challenges for a sustainable national response being addressed, especially through health diplomacy and other interventions.
- Monitor civil society and other key stakeholders, including the partner government and the Global Fund engagement.
- Monitor significant human rights issues for key and priority populations.
- PPP and Central Initiatives.

COP/ROP 14 Implementation (FY15 Q3/Q4) to COP/ROP 15 Implementation to FY16
The First POART – September 2015

We will start with the data we have now:
• IM level targets
• Site level results
• SIMS 1.0
• Country/agency O and O
• Qualitative discussion around the bottlenecks identified during the COP/ROP reviews

Outcomes:
• Familiarize people with the shared views
• Create data savvy users to support PEPFAR pivot
POART AND COP PLANNING CY
Overview of the POART Monitoring Cycle

- **Quarter 1: Oct. – Dec.**
  - Sept. 15-30
  - Aug. 15
  - POART Call focused on first 90
  - Dec. 15-30
  - POART Call focused on end of year results (APR) and EA data

- **Quarter 2: Jan. – March**
  - Feb. 15
  - March 15-30
  - POART Call focused on first 90 and launch of COP

- **Quarter 3: April – June**
  - May 15
  - June 15-30
  - COP Submission and Approval
  - POART Call focused on first and second 90s (SAPR)

- **Quarter 4: July – Sept.**
  - Nov. 15
  - POART Call focused on first 90 and launch of COP
  - Sept. 15-30
  - POART Call focused on end of year results (APR) and EA data
# POART and COP/ROP Cycles

<table>
<thead>
<tr>
<th>Quarter One (Oct-Dec)</th>
<th>Quarter Two (Jan-March)</th>
<th>Quarter Three (April-June)</th>
<th>Quarter Four (July-Sept)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>POART</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Call on end of year results review (APR/Q4 data), EA and SID data</td>
<td>• Call on first 90 results (Q1 data)</td>
<td>• Call on first and second 90s (SAPR/Q2 data)</td>
<td>• Call on first 90 results (Q3 data)</td>
</tr>
<tr>
<td>• CAS follow-up</td>
<td>• CAS follow-up</td>
<td>• CAS follow-up</td>
<td>• CAS follow-up</td>
</tr>
<tr>
<td><strong>COP/ROP</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>• HQ guidance and tool development and clearance</td>
<td>• HQ/Field in-person meeting; Field COP/ROP development</td>
<td>• COP/ROP submission deadline</td>
<td>• Fiscal year funds to field</td>
</tr>
<tr>
<td>• Release of COP/ROP Guidance</td>
<td>• COP/ROP training and webinars</td>
<td>• HQ/Field validation call/meeting</td>
<td>• All public materials posted to the internet</td>
</tr>
<tr>
<td>• COP/ROP training and webinars</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
2015: Month-by-Month At-a-Glance

- Meetings: COM, PEPFAR Coordinator, GF Liaison
- POART Call #1
- SID 2.0 Pilot
- Draft COP Guidance & Tech Cons
- EA + POART (APR) data collection launch
- SID 2.0 released
- APR, EA and SID 2.0 deadline
- Release of COP Guidance & Tech Cons
- APR, EA, SID and POART deadline
- Release of COP Guidance & Tech Cons
- WAD
- End of Year POART Call (APR, EA, SID)

August

- POART Report development & training

September

- EA + POART (APR) data collection launch

October

- SID 2.0 released

November

- Release of COP Guidance & Tech Cons

December

- WAD
- End of Year POART Call (APR, EA, SID)
A New Era of Accountability, Transparency and Solidarity to Accelerate IMPACT

2016: Month-by-Month At-a-Glance

- **January**
  - In-country agency meetings with IPs
  - EA back to field
  - Field data analysis
  - SIMS 2.0
  - COP and Tech Cons Webinars

- **February**
  - In-country COP development and submission

- **March**
  - Washington, DC: POART review and COP launch

- **April**
  - HQ review of COP
  - POART Q2 (SAPR) deadline
  - CN drafting starts

- **May**
  - POART review and COP approval meeting
  - COP Approval memos finalized

- **June**
  - COP closeout
  - CN clearance
  - Q3 POART data submission

- **July**
  - CN clearance
  - Public posting

- **August**
  - COP closeout

...
Coordinators Role

• Design interagency team structures and timelines for POART and COP

• Manage day-to-day **interagency** processes (or staff)
  - Ensure team comes together for data review, data interrogation and data use
  - Understand the data and be able to explain its significance

• Lead/facilitate external stakeholder consultations with FO, interagency leadership and technical staff

• Maintain routine contact with S/GAC (CL and Chair) to ensure ongoing shared understanding of program
Discussion and Questions
SESSION OBJECTIVES

– COP 2015 AAR survey results review and additional feedback
  • Review Process - +/-

– COP 2016
  • Expected changes
  • Timeline and process

– HOP
  • Country input into HOP activities
POART - Roadmap for streamlined COP/ROP development cycle

Goal: Reduce COP/ROP development from 4 to 6 month to 4 to 6 weeks
Pre-Read: 2016 COP/ROP Development Concept Overview

FY2016 Q1

- Updated Guidance and Tools issued
- Regional Kick Offs (HQ-Field pre-COP/ROP development meeting)
- Country specific COP direction provided

2-3 days (in-person)

- Field COP/ROP development,
- In-country external stakeholder engagement
- Interim Check-ins with HQ
- COP submission

4-6 weeks

- HQ-Field COP validation and approval meeting

OCT/NOV/DEC
- Updated COP Guidance
- Updated TECH CONS
- COP TOOLS Issued
- COP Training/Webinars
- SID 2.0 Developed/submitted

JAN/FEB
- Regional Kick Off Mtgs
- Country Specific COP direction provided before starting COP
- Teams develop COP after Kick off mtg

MAR/APR
- HQ internal Review of COP Submissions
- Assessment issued before in person approval mtg
- In person COP Approval Mtg

A New Era of Accountability, Transparency and Solidarity to Accelerate IMPACT
Revised Proposal: 2016 COP/ROP
Development Concept Overview

OCT/NOV/DEC
- Updated COP/ROP Guidance
- Updated TECH CONS
- COP/ROP Tools Issued
- COP/ROP Training/Webinars
- SID 2.0 Developed/submitted

POART Q1 AND COP/ROP 2016 LAUNCH (MARCH)
- Washington, D.C. Kick Off Mtgs
- Country Specific COP/ROP direction provided before starting COP/ROP
- Teams develop COP/ROP after Kick Off mtg
- Team submits COP/ROP in April

MAY/JUNE
- HQ internal Review of COP/ROP Submissions
- POART Q2 Report
- In person COP/ROP Approval Mtg

FY2016 Q1
- Updated Guidance, Technical Considerations, SID 2.0 and Tools issued

2-3 days
(in-person)
- (Washington, D.C. Kick Offs)
  HQ-Field pre-COP/ROP development meeting
  Country specific COP/ROP direction provided

4 - 6 weeks
- Field COP/ROP development,
  In-country external stakeholder engagement
  Interim Check-ins with HQ
  COP/ROP submission

2-3 days
(in-person)
- HQ-Field COP/ROP validation and approval & POART Q2 (SAPR) meeting

A New Era of Accountability, Transparency and Solidarity to Accelerate IMPACT
COP/ROP Kick Off Meeting – March, Washington, D.C.
In-person meeting to Develop Country Specific Guidance for 2016 (2-3 days)

1. Validation of progress towards 2017 targets and any recommended strategic shifts
   a. Updated epidemiologic data and policy environment
   b. Review of Financial Data and applied pipeline (EA and O/O reports)
   c. Analysis of Results (MER)
   d. Status of Quality (SIMS and other metrics)
   e. Overview of SID 2.0
   f. Overview of Bottlenecks & Barriers
   g. Health diplomacy and external stakeholder discussion

2. Review of Table 6.0 continuing activities from COP/ROP15

3. Staffing review to ensure alignment with SIMS and PEPFAR 3.0 pivot

4. Agreement on: proposed program area level targets; earmarks; major strategic re-alignments; technical priorities; and assumptions for Unit Expenditures in order to endorse PBAC approach
COP/ROP Development
(4-6 weeks from March – April)

- **Update** to the 2015 COP/ROP SDS
- IM-level budgets
- Site level and Strategic targets
- Agency M&O
- Updated Table 6.0
- New mechanism log-frames
- Routine stakeholder engagement on COP/ROP
In-Country 2016 COP Development Process

Week One - Consultations on Country specific 2016 COP Guidance

- PEPFAR interagency team
- External Stakeholders
- PEPFAR Implementing Partners meeting

Week Two - Implementing Partner planning & SDS updates

- PEPFAR interagency team finalizes IM budgets
- PEPFAR IMs begin entering target in DATIM
- PEPFAR team work on SDS updates, M&O, and SIMS

Week Three – Implementing Partner planning & SDS updates continues

- PEPFAR IMs entering targets in DATIM
- PEPFAR team work on SDS updates, M&O, and SIMS

Week Four – Finalize Implementing Partner submission

- IM targets reviewed by activity manager/project officer
- Overall target review by PEPFAR Interagency

Week Five – Review of COP 2016 submission

- PEPFAR Interagency review of SDS, targets and other supplemental information
- External stakeholder engagement
- Presentation to COM/DCM

Week Six – Finalization

- Reflect any final external engagement, and COM input
- Complete upload and submit
COP/ROP Validation/Approval & POART Q2 Review
In-Person Meeting (2-3 days), Regional Meetings, June

- Review of POART Q2 (SAPR) data

- Epidemiologic impact, geographic alignment, feasibility, affordability
  - Technical Priority Validation
  - PBAC
  - Targets
  - M&O plan, including SIMS Action Planner
  - Table 6 validation

- Health Diplomacy (Policy adoption, govt. contributions)

- Within Budget and meets all earmarks

- Review of outstanding POART action items

- On-site external stakeholder participation
HQ close-out process

- HQ/Field update to SDS, DATIM and issue of approval memo – All complete 30 days after COP/ROP approval meeting
- Posting of SDS and COP/ROP materials to PEPFAR.gov – within 30 days of approval memo issue
- Congressional Notification /Transfer of funding within same Fiscal Year
Discussion and Questions